

Attorney Docket No. KOP 2001-1

AUG 13 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: *Dunkeld et al.*

Serial No.: *10/013225*

Filed: 12/10/2001

For: *System & Method for Unique Digital Asset  
Identification & Transaction Management*

Art Unit: 2171

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Examiner: *Not Assigned* Technology Center 2600



Commissioner of Patents and Trademarks  
Washington, D.C. 20231

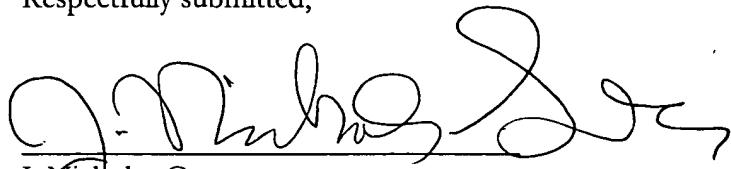
Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.
2. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed within 3 months of the filing date.
3. Copies of US Patent Nos. 6,253,193; 6,237,786; 5,982,891; 5,949,876; 5,915,019; 5,910,987 are not included herein, as they are all divisionals or continuations of US Patent No. 5,917,912. A copy of the latter nonetheless is included for the Examiner's benefit. As these documents all share a common specification and drawings, it is believed that submission of actual

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copies is not necessary because it would be simply cumulative.

Respectfully submitted,



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Attorney for Applicant(s)

March 11, 2002

February 13, 2002

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I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid,  
to the Commissioner of Patents and Trademarks, this ~~13<sup>th</sup> day of February~~ 2002.

~~11<sup>th</sup> day of March~~

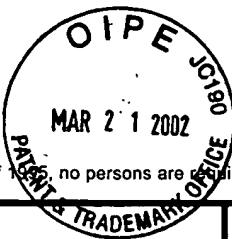
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**Application Number **10/016,325**Filing Date **12/10/2001**First Named Inventor **Dunkeld**Art Unit **2171**Examiner Name **NA**Attorney Docket Number **KOP 2001-1****RECEIVED****APR 05 2002****Technology Center 2600**

U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 2001/0041989 A1	11-15-2001	Vilcauskas, JR. et al
		US 2001/0039497 A1	11-8-2001	Hubbard
		US 2001/0038610 A1	11-8-2001	Decker et al.
		US 2001/0025341 A1	09-27-2001	Marshall
		US 2001/0021926 A1	09-13-2001	Schnreck et al.
		US 6,289,450 B1	09/11/2001	Pensak et al.
		US 6,289,108 B1	09/11/2001	Rhoads
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		US 6,266,654 B1	07/24/2001	Schull
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		US 2001/0008557 A1	07-19-2001	Steflik et al.
		US 2001/0005423 A1	06-28-2001	Rhoads
		US 6,253,193 B1	06/26/2001	Ginter et al.
		US 2001/0004736 A1	06-21-2001	Hirano et al.
		US 6,247,133 B1	06/12/2001	Palage et al.
		US 6,240,185 B1	05/29/2001	Van Wie et al.
		US 6,237,786 B1	05/29/2001	Ginter et al.
		US 6,233,684 B1	05/15/2001	Steflik et al.
		US 6,182,218 B1	01/30/2001	Saito
		US 6,115,818	09/05/2000	Barton

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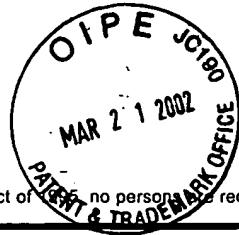
FOREIGN PATENT DOCUMENTS				
Examiner Initials	Cite No. 1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		WO 01/78303 A2	10/18/2001	Sony
		WO 01/44973 A2	06/21/2001	Napster, Inc.
		WO 01/38993 A2	05/31/2001	Binary Broadcasting

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	2	of	2		

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